#### PATENT COOPERATION TREATY

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### PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II
OF THE PATENT COOPERATION TREATY)
(PCT Rules 44bis.3(c) and 72.2)

To:	
BARTELS UND PARTNEPATENTANW Lange Strasse Eingegangen: 70174 Stuttgar ALLEMAGNE Received: 0 4 MA	älte
TERMIN	

Date of mailing (day/month/year)
27 April 2006 (27.04.2006)

Applicant's or agent's file reference 40cdh/229205

IMPORTANT NOTIFICATION

International application No. PCT/EP2004/003698

International filing date (day/month/year) 07 April 2004 (07.04.2004)

Applicant

HYDAC FLUIDTECHNIK GMBH et al

- 1. Transmittal of the translation to the applicant.
  - The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).
  - The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).
- 2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

None

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Yolaine Cussac

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Facsimile No.+41 22 338 70 80

### PATENT COOPERATION TREATY

Bartels und Partner Patentanwälte

PCT

Eingegangen: Received:

04 MAI 2006

### INTERNATIONAL PRELIMINARY REPORT ON PATE

(Chapter I of the Patent Cooperation Treaty)
(PCT Rule 44bis)

**FERMITY** 

Applicant's or agent's file reference 40cdh/229205

International application No. PCT/EP2004/003698

International Patent Classification (8th edition unless older edition indicated)
See relevant information in Form PCT/ISA/237

Applicant
HYDAC FLUIDTECHNIK GMBH

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1.	This international preliminary International Searching Author	report on patentability (Charrity under Rule 44 bis.1(a).	pter I) is issued by the International Bureau on behalf of the			
2.	This REPORT consists of a to	tal of 9 sheets, including this	cover sheet.			
	In the attached sheets, any refeto the international preliminary	erence to the written opinion y report on patentability (Cha	of the International Searching Authority should be read as a reference apter I) instead.			
3.	This report contains indication	s relating to the following ite	ems:			
	Box No. I	Basis of the report				
	Box No. II	Priority				
	Box No. III	Non-establishment of op applicability	pinion with regard to novelty, inventive step and industrial			
	Box No. [V	Lack of unity of inventi-	on.			
	Box No. V	Reasoned statement und applicability; citations a	der Article 35(2) with regard to novelty, inventive step or industrial and explanations supporting such statement			
	Box No. VI	Certain documents cited	1			
	Box No. VII	Certain defects in the in	ternational application			
	Box No. VΠΙ	Certain observations on	the international application			
4.	The International Bureau will onot, except where the applicant date (Rule 44bis .2).	communicate this report to de makes an express request ur	esignated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but nder Article 23(2), before the expiration of 30 months from the priority			
			Date of issuance of this report			
			18 April 2006 (18.04.2006)			
	The International Bur 34, chemin des Co	<del>-</del>	Authorized officer			
	1211 Geneva 20, S		Yolaine Cussac			
Facsin	nile No. +41 22 740 14 35		Telephone No. +41 22 338 70 80			

Bartels und Partner Patentanwä<sup>tt</sup>

Eingegangen: Received:

04 MAI 2006

## PATENT COOPERATION TREADMIN .....

From the INTERNATIONAL SEARCHING AUTHOR	RITY	L	PCT PCT		
To:		•	DOT VI		
			PCT V		
	·		44		
			RITTEN OPINION OF THE		
·		INTERNAL	IONAL SEARCHING AUTHORITY		
			(PCT Rule 43bis.1)		
		Date of mailing			
		(day/month/year)			
Applicant's or agent's file reference 40cdh/229205		FOR FURTHER			
International application No.	International filing date (	day/manthhaan)	See paragraph 2 below		
PCT/EP2004/003698	07.04.2004	aay moninyear)	Priority date (day/month/year) 16.05.2003		
International Patent Classification (IPC) or both		dipo	20.03.2003		
Taron Classification (17 c) of bar	i nauonai ciassification and	uirc			
Applicant					
HYDAC FLUIDTECHNIK GN	ивн	·			
1 This was a second of the sec					
This opinion contains indications rela	ting to the following items:				
Box No. I Basis of the	Box No. I Basis of the opinion				
Box No. II Priority					
Box No. III Non-establis	shment of opinion with reg	ard to novelty, inventi	ve step and industrial applicability		
<b>5</b> 3	y of invention				
Box No. V Reasoned st applicability	atement under Rule 43bis. at the state of th	s. I(a)(i) with regard to novelty, inventive step or industrial ons supporting such statement			
Box No. VI Certain docu	uments cited				
Box No. VII Certain defe	cts in the international app	plication			
Box No. VIII Certain obse	Box No. VIII Certain observations on the international application				
2. FURTHER ACTION					
If a demand for international prelin	minary examination is ma	ade, this opinion wil	I be considered to be a written opinion of the		
International Preliminary Examining	Authority ("IPEA") except	that this does not app	oly where the applicant chooses an Authority other can under Rule 66.1bis(b) that written opinions of		
this International Searching Authority	will not be so considered.	the MacMational Bull	and distact Rule 60.1015(0) that written opinions of		
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form					
PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.  For further options, see Form PCT/ISA/220.					
3. For further details, see notes to Form PCT/ISA/220.					
Name and mailing address of the ISA/EP		Authorized officer			
2		TEMOCIECO OMICCI			
Facsimile No.		Telephone No.			

Telephone No.

International application No.

PCT/EP2004/003698

Box	No. I	Basis of this opinion
I.		n regard to the language, this opinion has been established on the basis of the international application in the language in which it was a unless otherwise indicated under this item.
		This opinion has been established on the basis of a translation from the original language into the following language
ł	-	, which is the language of a translation furnished for the purposes of international search (under
		Rule 12.3 and 23.1(b)).
2.	With	regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed nation, this opinion has been established on the basis of:
	a.	type of material
		a sequence listing
ĺ		table(s) related to the sequence listing
	b.	format of material
		in written format
		in computer readable form
	c.	time of filing/furnishing
		contained in the international application as filed.
		filed together with the international application in computer readable form.
		furnished subsequently to this Authority for the purposes of search.
3.	Ш	In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4.	Addi	tional comments:
•		
		•

International application No.
PCT/EP2004/003698

Box	No. 1	II Pri	iority				
1.	$\boxtimes$	The following	ing document has not yet been furnished:				
		сору с	of the earlier application whose priority has been claimed (Rule 43bis.1 and 66.7(a)).				
		translation of the earlier application whose priority has been claimed (Rule 43bis.1 and 66.7(b)).					
		Consequently the assumption	y it has not been possible to consider the validity of the priority claim. This opinion has ion that the relevant date in the claimed priority date.	nevertheless been established on			
2.		This opinion (Rules 43bis relevant date	This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rules 43bis.1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the				
3.	Addi	itional observa	rations, if necessary:				
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International application No.
PCT/EP2004/003698

Во	x No. V	Reasoned statement citations and expla	nt under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability anations supporting such statement	';
1.	Statemen			
	Nove	ty (N)	Claims 3, 5, 8	YES
			Claims 1, 2, 4, 7, 9	NO
	Inven	ive step (IS)	Claims 3, 5, 8	YES
			Claims 1, 2, 4, 6, 7, 9	NO
	Indus	rial applicability (IA)	Claims 1-9	
			Claims	YES NO
2.		and explanations:		
	1.		sent communication, reference is made to	
			ing documents:	
			330 798 B1 (STEPHENSON DWIGHT)	
			cember 2001 (2001-12-18)	
			467 128 A (SPX CORP) 22 January 1992	
			-01-22)	
		D3: DE 199	9 32 139 A (MANNESMANN REXROTH AG)	
		11 Jar	nuary 2001 (2001-01-11)	
		D4: US 4 8	348 721 A (CHUDAKOV STANISLAV)	
		18 Jul	ly 1989 (1989-07-18)	
		D5: EP 0 5	503 188 A (STERLING HYDRAULICS INC)	
		16 Sep	otember 1992 (1992-09-16)	
		D6: GB 901	1 061 A (VON ROLL AG) 11 July 1962 (1962-	
		07-11)		
		D7: PATENT	ABSTRACTS OF JAPAN vol. 2000, No. 04,	
		31 Aug	gust 2000 (2000-08-31) & JP 2000 009250 A	
•		. (MASUE	OA KENJI), 11 January 2000 (2000-01-11)	
	2.	INDEPENDENT	r claim 1	
	2.1.	The present	t application does not meet the	
		requirement	ts of PCT Article 33(1) because the	

 $\operatorname{subject-matter}$  of claim 1 is not novel within the

International application No.
PCT/EP2004/003698

Box No. V

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

meaning of PCT Article 33(2). Document D1 discloses (the references between parentheses relate to this document):

A proportional seat valve comprising a valve housing (102) and three fluid connections (108, 110, 164) extending through the valve housing, and a main piston (114), running in the valve housing (102) and a pilot piston (146), for executing a pilot control (144), which may be controlled by means of a current-carrying magnetic device (126), whereby when a pilot control (144) is opened, fluid from one (108) of the two connections (108, 110) which can be controlled by the main piston (114) reaches the third connection (164) which can be controlled by the pilot piston (146), by means of a crosssectional narrowing (148) in the main piston (114) and the pilot control (144), and whereby, as a result of the corresponding pressure drop, the main piston (114) achieves a control position, which can control both fluid connections (108, 110) with regard to fluid amount.

2.2. Documents D2 (see in particular column 4, line 28 - column 6, line 52, figure 1), D3 (see in particular column 3, line 5 - column 7, line 45, figures 2, 3), D4 (see in particular column 5, line 8 - column 10, line 34, figures 1, 2), D5 (see in particular column 4, line 33 - column 9, line 35, figures 2, 3) and D6 (see in particular page 2, line 1 - page 3, line 120, page 4, lines 99-108, figures 1, 2) also disclose a device having the features of claim 1.

International application No.
PCT/EP2004/003698

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 3. DEPENDENT CLAIMS

- 3.1. The dependent claims 2, 4, 6, 7 and 9 do not seem to contain any additional features which, in combination with the features of any claim to which claims 2, 4, 6, 7 and 9 are referred back, meet the PCT requirements with respect to novelty and inventive step. The reasons are as follow:
- 3.2. With respect to claims 2, 4, 7 and 9: the subject-matter of claims 2, 4, 7 and 9 is not novel (PCT Article 33(2)):
- 3.3. With respect to claims 2, 4 and 7: see document D5, in particular column 4, line 33 column 9, line 35, figures 2, 3.
- 3.4. With respect to claim 9: see document D1, in particular balance (150), figure 1.
- 3.5. With respect to claim 6: the subject-matter of claim 6 does not involve an inventive step (PCT Article 33(3)): see document D7, in particular the abstract and figure 1. Document D7 describes the features from claim 6 and thus exhibits the same advantages as the present application. A person skilled in the art would therefore consider the incorporation of these features into the device described in D3 as a customary measure for solving the defined problem.

International application No.
PCT/EP2004/003698

Box No. V

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement

3.6. The feature combination contained in the dependent claim 3 is neither known from the available prior art nor suggested by it. The reasons are as follow:

Document D5 is considered to be the closest prior art. It discloses (the references between parentheses refer to this document):

a proportional seat valve in which a compression spring (66) engages in a recess (64) in the main piston (40).

The subject-matter of the dependent claim 3 differs from this in that:

a bearing element, which is connected to the free end of the pilot piston via a bearing ball, is arranged at the free end of the compression spring which is assigned to the pilot piston.

The problem to be solved with the present invention can thus be considered to be providing a simple attachment between a pilot piston and main piston.

Since the features of the dependent claim 3 are not specified in their entirety in any of the documents cited in the search report or acknowledged in the introductory part of the description, the subjectmatter of claim 3 is novel (PCT Article 33(2)). It also involves an inventive step since the features of the characterizing part of claim 3 are not suggested by any submitted document.

International application No.
PCT/EP2004/003698

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 4. INDUSTRIAL APPLICABILITY

The subject-matter of claims 1-9 can be manufactured and used and can therefore be considered to be industrially applicable.